

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/694,288	10/24/2000	Timothy A. Lewis	078091/0275

Johnny A. Kumar
FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, DC 20007-5109

FORMALITIES LETTER



OC000000005622186

Date Mailed: 12/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Ssohaid
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/20/2001 AGDITON 00000152 09694288

01 FC:105

130.00 OP



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Timothy A. Lewis

Application No.: 09/694,288

Filed: October 24, 2000

For: **SECURE SOFTWARE SMI
DISPATCHING USING CALLER
ADDRESS**

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents
Attention: Box Missing Parts
Washington, DC 20231-9998

Sir:

In response to the Notice to File Missing Parts of Application mailed

December 15, 2000, please find enclosed:

- (1) Declaration and Power of Attorney;
- (2) a check in the amount of \$130.00 to cover the surcharge under
37 CFR 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Nonprovisional Application.

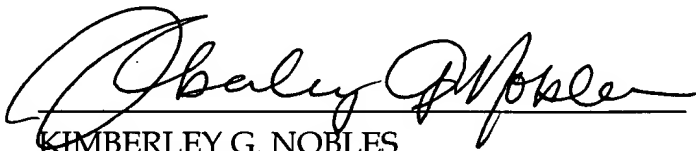
Applicant is also filing herewith a Revocation and Power of Attorney to confirm change of counsel because Applicant's prior counsel submitted an unsigned Declaration and Power of Attorney. Applicant is also filing an assignment under separate cover.

If any additional fee is required, please charge Deposit Account No. 09-0946. A duplicate of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

IRELL & MANELLA LLP

Dated: February 12, 2001



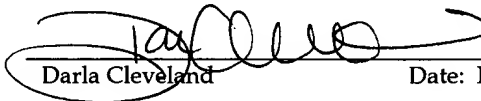
KIMBERLEY G. NOBLES

Reg. No. 38,255

840 Newport Center Drive
Suite 400
Newport Beach, CA 92660-6324
(949) 760-0991

CERTIFICATE OF MAILING

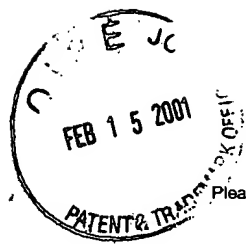
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, DC 20231 on February 12, 2001.



Darla Cleveland

Date: February 12, 2001

SECOND
4



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/694,288	
	Filing Date	October 24, 2000	
	First Named Inventor	Timothy A. Lewis	
	Group Art Unit	2131	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	9	Attorney Docket Number	078091/0275

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	A copy of the Notice to File Missing Parts of Nonprovisional Application (1 pg); Revocation and Power of Attorney (1 pg)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Kimberley G. Nobles, Reg. No. 38,255 IRELL & MANELLA LLP
Signature	
Date	February 12, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 12, 2001	
Typed or printed name	Darla Cleveland
Signature	
Date	February 12, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) \$130.00

Complete if Known

Application Number	09/694,288
Filing Date	October 24, 2000
First Named Inventor	Timothy A. Lewis
Examiner Name	Unknown
Group / Art Unit	2131
Attorney Docket No.	078091/0275 (155607-0276)

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																												
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>09-0946</u> Deposit Account Name <u>Irell & Manella LLP</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	3. ADDITIONAL FEES <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td></td></tr><tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,210</td><td>241 605</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td></td></tr><tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td colspan="3">Other fee (specify) _____</td><td></td></tr><tr><td colspan="3">Other fee (specify) _____</td><td></td></tr><tr><td colspan="3">* Reduced by Basic Filing Fee Paid</td><td></td></tr><tr><td colspan="3">SUBTOTAL (3) (\$) 130.00</td><td></td></tr></tbody></table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	130.00	127 50	227 25	Surcharge - late provisional filing fee or cover sheet.		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 380	216 190	Extension for reply within second month		117 870	217 435	Extension for reply within third month		118 1,360	218 680	Extension for reply within fourth month		128 1,850	228 925	Extension for reply within fifth month		119 300	219 150	Notice of Appeal		120 300	220 150	Filing a brief in support of an appeal		121 260	221 130	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,210	241 605	Petition to revive - unintentional		142 1,210	242 605	Utility issue fee (or reissue)		143 430	243 215	Design issue fee		144 580	244 290	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))		149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____				Other fee (specify) _____				* Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$) 130.00			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																										
105 130	205 65	Surcharge - late filing fee or oath	130.00																																																																																																																										
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																											
139 130	139 130	Non-English specification																																																																																																																											
147 2,520	147 2,520	For filing a request for reexamination																																																																																																																											
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																											
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																											
115 110	215 55	Extension for reply within first month																																																																																																																											
116 380	216 190	Extension for reply within second month																																																																																																																											
117 870	217 435	Extension for reply within third month																																																																																																																											
118 1,360	218 680	Extension for reply within fourth month																																																																																																																											
128 1,850	228 925	Extension for reply within fifth month																																																																																																																											
119 300	219 150	Notice of Appeal																																																																																																																											
120 300	220 150	Filing a brief in support of an appeal																																																																																																																											
121 260	221 130	Request for oral hearing																																																																																																																											
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																																											
140 110	240 55	Petition to revive - unavoidable																																																																																																																											
141 1,210	241 605	Petition to revive - unintentional																																																																																																																											
142 1,210	242 605	Utility issue fee (or reissue)																																																																																																																											
143 430	243 215	Design issue fee																																																																																																																											
144 580	244 290	Plant issue fee																																																																																																																											
122 130	122 130	Petitions to the Commissioner																																																																																																																											
123 50	123 50	Petitions related to provisional applications																																																																																																																											
126 240	126 240	Submission of Information Disclosure Stmt																																																																																																																											
581 40	581 40	Recording each patent assignment per property (times number of properties)																																																																																																																											
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																											
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																											
Other fee (specify) _____																																																																																																																													
Other fee (specify) _____																																																																																																																													
* Reduced by Basic Filing Fee Paid																																																																																																																													
SUBTOTAL (3) (\$) 130.00																																																																																																																													
FEE CALCULATION 1. BASIC FILING FEE <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 690</td><td>201 345</td><td>Utility filing fee</td><td></td></tr><tr><td>106 310</td><td>206 155</td><td>Design filing fee</td><td></td></tr><tr><td>107 480</td><td>207 240</td><td>Plant filing fee</td><td></td></tr><tr><td>108 690</td><td>208 345</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="3">SUBTOTAL (1) (\$)</td><td></td></tr></tbody></table> 2. EXTRA CLAIM FEES <table><thead><tr><th>Current</th><th>Pd</th><th>Prev</th><th>Extra</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Total Claims</td><td></td><td></td><td></td><td>X</td><td></td></tr><tr><td>Independent Claims</td><td></td><td></td><td></td><td>X</td><td></td></tr><tr><td>Multiple Dependent</td><td></td><td></td><td></td><td></td><td></td></tr></tbody></table> **or number previously paid, if greater; For Reissues, see below <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102 78</td><td>202 39</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104 260</td><td>204 130</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109 78</td><td>209 39</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>110 18</td><td>210 9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="3">SUBTOTAL (2) (\$)</td><td></td></tr></tbody></table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 690	201 345	Utility filing fee		106 310	206 155	Design filing fee		107 480	207 240	Plant filing fee		108 690	208 345	Reissue filing fee		114 150	214 75	Provisional filing fee		SUBTOTAL (1) (\$)				Current	Pd	Prev	Extra	Fee from below	Fee Paid	Total Claims				X		Independent Claims				X		Multiple Dependent						Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 78	202 39	Independent claims in excess of 3		104 260	204 130	Multiple dependent claim, if not paid		109 78	209 39	** Reissue independent claims over original patent		110 18	210 9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$)																																																
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																										
101 690	201 345	Utility filing fee																																																																																																																											
106 310	206 155	Design filing fee																																																																																																																											
107 480	207 240	Plant filing fee																																																																																																																											
108 690	208 345	Reissue filing fee																																																																																																																											
114 150	214 75	Provisional filing fee																																																																																																																											
SUBTOTAL (1) (\$)																																																																																																																													
Current	Pd	Prev	Extra	Fee from below	Fee Paid																																																																																																																								
Total Claims				X																																																																																																																									
Independent Claims				X																																																																																																																									
Multiple Dependent																																																																																																																													
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																										
103 18	203 9	Claims in excess of 20																																																																																																																											
102 78	202 39	Independent claims in excess of 3																																																																																																																											
104 260	204 130	Multiple dependent claim, if not paid																																																																																																																											
109 78	209 39	** Reissue independent claims over original patent																																																																																																																											
110 18	210 9	** Reissue claims in excess of 20 and over original patent																																																																																																																											
SUBTOTAL (2) (\$)																																																																																																																													

SUBMITTED BY

Name (Print/Type)	Kimberley G. Nobles	Registration No. (Attorney/Agent)	38,255	Telephone	(949) 760-0991
Signature				Date	February 12, 2001

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.